

Privacy Policy

Rev.03/2018

FACTS

WHAT DOES **STRATEGIC ASSET MANAGEMENT, INC.** DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing of your personal information. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully in order to better understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security Number and Income
- Investment Experience and Assets
- Employment information and Risk Tolerance

How?

All financial companies need to share client's personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client's personal information; the reasons **Strategic Asset Management, Inc.** chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Strategic Asset Management, Inc. share?	Can you limit this sharing?
For our everyday business purposes with one or more of our affiliated entities, at our sole discretion and for purposes either related or unrelated to services we provide you, and to nonaffiliated entities, that either perform services for us or function on our behalf (such as account aggregation providers, broker/dealers, investment companies and insurance companies).	YES	NO
For our marketing purposes To offer our products and services to you	NO	We Don't Share
For joint marketing with other financial companies	NO	We Don't Share
For our affiliates' everyday business purposes— information about your transactions and experiences	NO	NO
For our affiliates' everyday business purposes— information about your creditworthiness	NO	NO
For our affiliates to market to you	NO	NO
For nonaffiliates to market to you	YES	NO

To limit our sharing

- Call **614-451-0200** our menu will prompt you through your choice(s)
- Visit us online: www.strategicassetmgmtinc.com
- Mail the **form** at the bottom of page two

Please note:

If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice. However you can contact us at any time to limit your sharing.

Questions?

Call **614-451-0200** or go to www.strategicassetmgmtinc.com

Who we are	
Who is providing this notice?	Strategic Asset Management, Inc.
What we do	
How does Strategic Asset Management, Inc. protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include ongoing employee training, computer safeguards and secured files and buildings.
How does Strategic Asset Management, Inc. collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> • Seek advice about your investments OR Enter into an investment advisory contract • Show your driver's license OR Give us your contact information • Tell us about your investment or retirement portfolio <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes information about your creditworthiness • Affiliates from using your information to market to you • Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account—unless you tell us otherwise.
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> • There are no affiliates of Strategic Asset Management, Inc.
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies. We share personal client information with Triad Advisors, Inc., a nonaffiliated registered broker/dealer</p> <ul style="list-style-type: none"> • We share personal client information with Triad Advisors, LLC, a nonaffiliated registered broker/dealer; Charles Schwab & Co.; Transamerica; AXA Equitable; Great American; American Equity; Voya; AIG; MNL
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • Strategic Asset Management, Inc. doesn't jointly market

Mail-in Form		
<p>If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.</p> <p><input type="checkbox"/> Apply my choices only to me</p>	<p>Mark any/all you want to limit:</p> <p><input type="checkbox"/> Do not share information about my creditworthiness with your affiliates for their everyday business purposes</p> <p><input type="checkbox"/> Do not allow your affiliates to use my personal information to market to me.</p> <p><input type="checkbox"/> Do not share my personal information with nonaffiliates to market their products and services to me.</p>	
	Name	<p>Mail to:</p> <p>Strategic Asset Management, Inc. 3518 Riverside Dr. Suite 106</p>
	Address	

	City, State, Zip		Columbus, OH 43221
	Account #		